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DT-0301-CP1SERIAL NO.
09/994,465LIST OF DOCUMENTS CITED BY APPLICANT
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U.S. PATENT DOCUMENTS

| EXAMINER INITIAL* | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|----------------------|----|--------------------|------------------|--------------------|-------|----------|-------------------------------|
| FJ | AA | 52 6,025,686 | 04 05/18/2000 | Fernandez et al. | | | |
| J | AB | 6,173,345 | 01/09/2001 | William A. Stevens | | | |
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FOREIGN PATENT DOCUMENTS

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| FJ | BA | WO 98/53415 | 11/26/1998 | Ouimet et al. | | | | |
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| FJ | CA | | "PCT International Search Report", Application Number PCT/US02/36710, mailed July 21, 2003. |
| J | CB | | YOEMAN, JOHN CORNELIUS JR., "The Optimal Offering Price for Underwritten Securities", 1993, Volume 55/01-A of Dissertation Abstracts International, Page 4743 (Abstract Only). |
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EXAMINER

DATE CONSIDERED

11/8/05

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.